

ON A CLASS OF COMPLETE NON-COMPACT GRADIENT YAMABE SOLITONS

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ABSTRACT. We derive lower bounds on the scalar curvature of complete non-compact gradient Yamabe solitons under some integral curvature conditions. Based on this, we prove that the corresponding potential functions have at most quadratic growth in distance. We also obtain a finite topological type property on complete shrinking gradient Yamabe solitons under suitable scalar curvature assumptions.

1. INTRODUCTION AND RESULTS

In this note we will study some scalar curvature and topology properties on a class of complete non-compact gradient Yamabe solitons.

Definition 1.1. Let (M^n, g) be a complete Riemannian manifold and let R denotes the scalar curvature of (M^n, g) . It is said to be a *complete non-compact (resp. closed) Yamabe soliton* if (M^n, g) is complete non-compact (resp. closed) and if it admits a smooth vector field X satisfying

$$(1.1) \quad Rg + \mathcal{L}_X g = \lambda g$$

for some real constant λ , where \mathcal{L}_X denotes the Lie derivative with respect to the smooth vector X . A Yamabe soliton is said to be *shrinking*, *steady* or *expanding* if $\lambda > 0$, $\lambda = 0$ or $\lambda < 0$, respectively.

The vector field X appearing in (1.1) has necessarily to be a conformal Killing vector field since the Yamabe flow

$$(1.2) \quad \frac{\partial}{\partial t} g = -Rg,$$

preserves the conformal structure. If $2X = \nabla f$ for some smooth function f on M^n , then we can obtain a *complete gradient Yamabe soliton*, namely,

$$(1.3) \quad Rg_{ij} + \nabla_i \nabla_j f = \lambda g_{ij}.$$

Here the function f is often called the *potential function*. When f is constant, the scalar curvature becomes constant.

Yamabe solitons are an important object in understanding the Yamabe flow, since they can be regarded as special solutions of the Yamabe flow and naturally arise as limits of dilations of singularities in the Yamabe flow. Meanwhile, Yamabe solitons have inspired Harnack inequalities to the Yamabe flow (see [10]). The

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knowing the geometry of gradient Yamabe solitons helps us to understand the asymptotic behavior of singularities in the Yamabe flow. It is known that *any closed Yamabe soliton has constant scalar curvature* (see [13] or [12], Appendix B). For the non-compact case, many interesting results about non-compact Yamabe solitons have been studied in recent papers, such as [5], [7], [15], [18] and [19].

On complete (closed or non-compact) Ricci solitons, B.-L. Chen [8] (or see Z.-H. Zhang [21]) obtained a uniform lower bound of scalar curvature by the skill of cutoff functions in a local neighborhood of the complete manifold. Compared with the Ricci solitons, it is natural to ask whether the scalar curvature of complete non-compact Yamabe solitons shares a similar property? In general, it seems to be difficult to answer this question. However, if some curvature assumptions are given, we can follow arguments of Z.-H. Zhang in the Ricci soliton case (see [21], Theorem 1.3), and give the following result.

Theorem 1.2. *Let (M^n, g, f) be a complete non-compact gradient Yamabe soliton of the form (1.3), which satisfies*

$$(1.4) \quad \lim_{r(x) \rightarrow \infty} \frac{1}{r(x)} \cdot \int_1^{r(x)} Rc(\gamma'(s), \gamma'(s)) ds \geq 0,$$

where $r(x)$ is the distance function from a fixed point $p \in M^n$ and $\gamma : [0, r(x)] \rightarrow M^n$ is a unit speed minimal geodesic joining p to x .

- (1) *If the gradient Yamabe soliton is steady or shrinking, then $R \geq 0$.*
- (2) *If the gradient Yamabe soliton is expanding, then $R \geq \lambda$.*

Remark 1.3. From Section 2 below, we see that if (M, g, f) are Einstein solitons with non-negative constant curvature, or Gaussian Yamabe solitons, Theorem 1.2 obviously holds. If (M, g, f) is 2-dimensional steady cigar Yamabe soliton, then we compute that $Rc = \frac{2}{1+\rho^2}g$ and condition (1.4) is satisfied. Hence $R = \frac{4}{1+\rho^2} > 0$.

Remark 1.4. Since curvature assumptions of Theorem 1.2 exclude Einstein solitons with negative constant curvature, our assumptions seem not to be natural. Can one remove the assumptions of our theorem?

Remark 1.5. Recently L. Ma and V. Miquel have also proved similar scalar curvature estimates under different curvature assumptions (Theorems 1 and 2 in [19]).

In addition, as we all known, potential functions on complete Ricci solitons have at most quadratic growth in distance (see, e.g., [6] or [4]). Inspired by the Ricci solitons case, based on Theorem 1.2, we can also derive similar results for potential functions on complete non-compact gradient Yamabe solitons.

Theorem 1.6. *Under the same hypotheses of Theorem 1.2, we have*

- (1) $f(x) \leq \frac{\lambda}{2} \cdot r(x)^2 + C_1 \cdot r(x) + C_2$ if $\lambda \geq 0$;
- (2) $f(x) < C_1 \cdot r(x) + C_2$ if $\lambda < 0$

for some fixed constants C_1 and C_2 . Here $r(x) := d(p, x)$ is the distance function from some fixed point $p \in M^n$.

In the following we will obtain an interesting topological result on complete shrinking gradient Yamabe solitons with a proper upper bound on scalar curvature.

Theorem 1.7. *Let (M^n, g) be a complete Riemannian manifold satisfying*

$$Rg_{ij} + \nabla_i \nabla_j f \geq \lambda g_{ij},$$

where $f \in C^\infty(M^n)$ and $\lambda > 0$. Assume that the scalar curvature $R \leq \mu$ for some constant $\mu < \lambda$. Then M^n has finite topological type.

In particular, on complete shrinking gradient Yamabe solitons, Theorem 1.7 implies

Corollary 1.8. *Any complete shrinking gradient Yamabe soliton of the form (1.3) with $R \leq \mu$ for some constant $\mu < \lambda$ has finite topological type.*

Remark 1.9. Fang, Man and Zhang [14] proved that any complete gradient shrinking Ricci soliton with bounded scalar curvature has finite topological type.

The structure of this paper is organized as follows. In Section 2, we will give some simple examples of complete non-compact Yamabe solitons. In Section 3, we will employ nice cut-off functions to prove Theorem 1.2. In Section 4, using Theorem 1.2, we will finish the proof of Theorem 1.6. Finally, in Section 5, we will prove Theorem 1.7 and Corollary 1.8.

2. EXAMPLES

In this section, we give some simple examples for complete non-compact Yamabe solitons. It is useful to consider these examples as models to guide one's intuition about complete non-compact Yamabe solitons.

• Einstein soliton

Let (M^n, g) be a complete non-compact Riemannian manifold with the Ricci curvature $R_{ij} = \frac{\lambda}{n}g_{ij}$ and let the potential function f be a constant. Then we have

$$Rg_{ij} = \lambda g_{ij}.$$

The above Yamabe soliton equation is said to be shrinking, steady or expanding if $\lambda > 0$, $\lambda = 0$ or $\lambda < 0$, respectively.

• Gaussian Yamabe soliton

The Gaussian Yamabe soliton on \mathbb{R}^n is given by

$$g_{ij} = \delta_{ij} \quad \text{and} \quad f(x) = \frac{\lambda}{2}|x|^2.$$

Then we have $R = 0$ and $\nabla_i \nabla_j f = \lambda \delta_{ij}$. Therefore

$$0 = -Rg_{ij} = \nabla_i \nabla_j f - \lambda \delta_{ij}.$$

Note that if $\lambda > 0$ (or $\lambda < 0$), then $(\mathbb{R}^n, \delta_{ij}, \frac{\lambda}{2}|x|^2)$ is called the Gaussian shrinking (or expanding) Yamabe soliton.

• Cigar Yamabe soliton

Hamilton's cigar soliton [16] (see also [2, 3] or Chapter 4 in [12]) is the complete Riemannian surface, where

$$g_\Sigma := \frac{dx^2 + dy^2}{1 + x^2 + y^2}.$$

In polar coordinates: $x = \rho \cos \theta$ and $y = \rho \sin \theta$, we may rewrite

$$g_\Sigma = \frac{d\rho^2 + \rho^2 d\theta^2}{1 + \rho^2}.$$

By a direct computation, the scalar curvature of g_Σ is $R = \frac{4}{1+\rho^2}$. Let

$$f := -2 \log(1 + \rho^2).$$

Then we have that

$$Rg_{ij} + \nabla_i \nabla_j f = 0.$$

This is the equation of steady gradient Yamabe solitons in dimension two. But for high dimension case, it is not clear whether there are examples of non-compact steady gradient Yamabe solitons with rotationally symmetric metrics.

3. BOUNDS OF THE SCALAR CURVATURE

Before we prove Theorem 1.2, we first give some useful results on the complete gradient Yamabe solitons.

Lemma 3.1. *Let (M^n, g) be a complete (closed or non-compact) Riemannian manifold satisfying (1.3). Then we have the following identities*

$$(3.1) \quad \nabla_i R = \frac{1}{n-1} R_{ij} \nabla_j f$$

and

$$(3.2) \quad \Delta R - \frac{\langle \nabla R, \nabla f \rangle}{2(n-1)} + \frac{R^2}{n-1} - \frac{\lambda R}{n-1} = 0.$$

Proof. By taking covariant derivatives of $Rg_{jk} + \nabla_j \nabla_k f = \lambda g_{jk}$, we have

$$\nabla_i Rg_{jk} + \nabla_i \nabla_j \nabla_k f = 0 \quad \text{and} \quad \nabla_j Rg_{ik} + \nabla_j \nabla_i \nabla_k f = 0.$$

Taking the difference for above two equalities and applying the commuting formula for covariant derivative, we get

$$\nabla_i Rg_{jk} - \nabla_j Rg_{ik} + R_{ijk} \nabla_l f = 0.$$

Then taking the trace on the indexes j and k for the above equality gives (3.1).

To prove (3.2), taking the covariant derivatives to (3.1) once again, and using the contracted second Bianchi, we get

$$\begin{aligned} \Delta R &= \frac{1}{n-1} \nabla_i (R_{ij} \nabla_j f) \\ &= \frac{1}{n-1} \left(\frac{1}{2} \nabla_j R \nabla_j f + R_{ij} \nabla_i \nabla_j f \right) \\ &= \frac{1}{n-1} \left[\frac{1}{2} \nabla_j R \nabla_j f + R_{ij} (\lambda g_{ij} - Rg_{ij}) \right]. \end{aligned}$$

This completes the proof of the lemma. \square

Next using Lemma 3.1, we can finish the proof of Theorem 1.2.

Proof of Theorem 1.2. To prove main result, we will use a similar localization technique of Li-Yau [17] to obtain the scalar curvature estimate on complete non-compact gradient Yamabe solitons.

We take a C^2 -smooth cut-off function $\tilde{\varphi}$ defined on $[0, \infty)$ such that $\tilde{\varphi}(s) = 1$ for $s \in [0, 1]$, $\tilde{\varphi}(s) = 0$ for $s \in [2, \infty)$, and $0 \leq \tilde{\varphi}(s) \leq 1$ for $s \in (1, 2)$. Furthermore, we take the derivatives of $\tilde{\varphi}$, satisfying

$$(3.3) \quad -C \leq \frac{\tilde{\varphi}'(s)}{\tilde{\varphi}^{1/2}(s)} \leq 0 \quad \text{and} \quad \tilde{\varphi}''(s) \geq -C$$

for some absolute constant $0 < C < \infty$. Fix a point $p \in M$ and let $r(x) := d(x, p)$ denotes the distance between x and p in M^n . Set

$$\varphi(x) = \tilde{\varphi} \left(\frac{r(x)}{c} \right)$$

for $c \in (0, \infty)$. We now consider the function

$$\Phi(x) := \varphi(x) \cdot R(x)$$

with support in $B_p(2c)$. Using an argument of Calabi [1] (see also Cheng and Yau [9]), we may assume without loss of generality that $\Phi(x) \in C^2(M)$ with support in $B_p(2c)$. Direct calculation yields that

$$\Delta \Phi = \varphi \cdot \Delta R + \frac{2}{c} \tilde{\varphi}' \langle \nabla r, \nabla R \rangle + \left(\frac{\tilde{\varphi}'}{c} \Delta r + \frac{\tilde{\varphi}''}{c^2} \right) \cdot R.$$

in $B_p(2c)$. Substituting (3.2) into the right hand side of the above equality, we have

$$\begin{aligned} \Delta \Phi &= \varphi \cdot \left[\frac{\langle \nabla R, \nabla f \rangle}{2(n-1)} - \frac{R^2}{n-1} + \frac{\lambda R}{n-1} \right] + \frac{2}{c} \tilde{\varphi}' \langle \nabla r, \nabla R \rangle + \left(\frac{\tilde{\varphi}'}{c} \Delta r + \frac{\tilde{\varphi}''}{c^2} \right) \cdot R \\ &= \frac{\langle \nabla \Phi, \nabla f \rangle}{2(n-1)} + \frac{2}{c} \cdot \frac{\tilde{\varphi}'}{\tilde{\varphi}} \langle \nabla \Phi, \nabla r \rangle + \frac{\tilde{\varphi}'}{c} \left[\Delta r - \frac{\langle \nabla r, \nabla f \rangle}{2(n-1)} \right] \cdot R \\ &\quad + \varphi \cdot \left(\frac{\lambda R}{n-1} - \frac{R^2}{n-1} \right) + \frac{1}{c^2} \left[\tilde{\varphi}'' - 2 \cdot \frac{(\tilde{\varphi}')^2}{\tilde{\varphi}} \right] \cdot R \end{aligned}$$

in $B_p(2c)$.

Step 1: If $R \geq 0$, then theorem follows.

Step 2: So in the rest of this proof, we only consider the case: $R \leq 0$. Now we may assume that

$$\min_{x \in M} \Phi(x) < 0.$$

Then there exists a point $x_0 \in B_p(2c)$, such that

$$\Phi(x_0) = \min_M \Phi(x) < 0.$$

This implies $R(x_0) < 0$ and hence

$$\nabla \Phi(x_0) = 0 \quad \text{and} \quad \Delta \Phi(x_0) \geq 0.$$

Therefore at the point x_0 , we have

$$(3.4) \quad \frac{\tilde{\varphi}'}{c} \cdot \left[\Delta r - \frac{\langle \nabla r, \nabla f \rangle}{2(n-1)} \right] + \varphi \cdot \left(\frac{\lambda}{n-1} - \frac{R}{n-1} \right) + \frac{1}{c^2} \left[\tilde{\varphi}'' - 2 \cdot \frac{(\tilde{\varphi}')^2}{\tilde{\varphi}} \right] \leq 0.$$

Next we shall consider the nonexpanding and expanding cases separately to study the key inequality (3.4).

(1) Nonexpanding gradient Yamabe soliton

For shrinking or steady gradient Yamabe solitons, i.e., $\lambda \geq 0$, we consider two cases, depending on the location of x_0 .

Case (i) Suppose $d(x_0, p) < c$, so that $\varphi = 1$ in a neighborhood of x_0 . At this time $\tilde{\varphi}' = 0$. Then (3.4) implies

$$0 \geq \lambda - R(x_0) = -\Phi(x_0) + \lambda \geq -\tilde{\varphi} \left(\frac{r(x)}{c} \right) \cdot R(x) + \lambda$$

for all $x \in M$. From above, we have

$$R(x) \geq \lambda$$

for all $x \in B_p(c)$, since $\tilde{\varphi}\left(\frac{r(x)}{c}\right) = 1$.

Case (ii) Suppose $d(x_0, p) \geq c$. We still consider the inequality (3.4). Below we want to get an upper bound of $\Delta r - \frac{\langle \nabla r, \nabla f \rangle}{2(n-1)}$. On one hand, by the second variation of distance, we have

$$(3.5) \quad \Delta r(x_0) \leq \int_0^{r(x_0)} [(n-1)(\eta'(s))^2 - \eta^2 Rc(\gamma'(s), \gamma'(s))] ds$$

for any unit speed minimal geodesic $\gamma : [0, r(x_0)] \rightarrow M^n$ joining p to x_0 and any continuous piecewise C^∞ function $\eta : [0, r(x_0)] \rightarrow [0, 1]$ satisfying

$$\eta(0) = 0 \quad \text{and} \quad \eta(r(x_0)) = 1.$$

On the other hand, we have

$$\begin{aligned} -\frac{\langle \nabla r, \nabla f \rangle(x_0)}{2(n-1)} &= -\frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{1}{2(n-1)} \int_0^{r(x_0)} \gamma'(s) \langle \nabla f, \nabla r \rangle ds \\ &= -\frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{1}{2(n-1)} \int_0^{r(x_0)} \nabla \nabla f(\gamma'(s), \gamma'(s)) ds \\ &= -\frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{\lambda r(x_0)}{2(n-1)} + \frac{1}{2(n-1)} \int_0^{r(x_0)} Rg(\gamma'(s), \gamma'(s)) ds, \end{aligned}$$

where we used $\nabla r = \gamma'(s)$, $\nabla_{\gamma'(s)} \nabla r = 0$ and (1.3). Combining this with (3.5) and using our assumption $R \leq 0$ yields

$$(3.6) \quad \begin{aligned} \Delta r(x_0) - \frac{\langle \nabla r, \nabla f \rangle(x_0)}{2(n-1)} &\leq (n-1) \int_0^{r(x_0)} (\eta'(s))^2 ds - \frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} \\ &\quad - \frac{\lambda r(x_0)}{2(n-1)} - \int_0^{r(x_0)} \eta^2 \cdot Rc(\gamma'(s), \gamma'(s)) ds. \end{aligned}$$

To simply this inequality, we define the function $\eta(s)$

$$\eta(s) = \begin{cases} s & \text{on } [0, 1], \\ 1 & \text{on } (1, r(x_0)]. \end{cases}$$

Plugging this cutoff function into (3.6) gives

$$(3.7) \quad \begin{aligned} \Delta r(x_0) - \frac{\langle \nabla r, \nabla f \rangle(x_0)}{2(n-1)} &\leq (n-1) - \frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{\lambda r(x_0)}{2(n-1)} \\ &\quad - \int_0^1 s^2 \cdot Rc(\gamma'(s), \gamma'(s)) ds - \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s)) ds \\ &\leq (n-1) - \frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{\lambda r(x_0)}{2(n-1)} + C_0 - \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s)) ds, \end{aligned}$$

where C_0 is some positive absolute constant. Note that (3.3) implies

$$\tilde{\varphi}'' - 2 \cdot \frac{(\tilde{\varphi}')^2}{\tilde{\varphi}} \geq -C,$$

where C is some positive absolute constant and independent of c . Substituting this inequality and (3.7) into (3.4), we obtain

$$\begin{aligned} \frac{\Phi(x)}{n-1} &\geq \frac{\Phi(x_0)}{n-1} \\ &\geq \frac{\tilde{\varphi}'(\frac{r(x_0)}{c})}{c} \left[(n-1) - \frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{\lambda r(x_0)}{2(n-1)} + C_0 \right. \\ &\quad \left. - \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s)) ds \right] + \frac{\lambda \varphi(x_0)}{n-1} - \frac{C}{c^2}. \end{aligned}$$

Hence for all $x \in B_p(c)$, we have

$$(3.8) \quad \begin{aligned} \frac{R(x)}{n-1} &\geq \frac{\tilde{\varphi}'(\frac{r(x_0)}{c})}{c} \left[(n-1) - \frac{\langle \nabla r, \nabla f \rangle(p)}{2(n-1)} - \frac{\lambda r(x_0)}{2(n-1)} + C_0 \right. \\ &\quad \left. - \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s)) ds \right] + \frac{\lambda \varphi(x_0)}{n-1} - \frac{C}{c^2}. \end{aligned}$$

Note that from $d(x_0, p) \geq c$ and $\tilde{\varphi}(\frac{r(x_0)}{c}) > 0$, we can judge that

$$x_0 \in B(p, 2c) - B(p, c) \quad \text{and} \quad 1 \leq \frac{r(x_0)}{c} < 2.$$

Since $-1 - \epsilon \leq \tilde{\varphi}' \leq 0$ for any $\epsilon > 0$ and $\lambda \geq 0$, (3.8) reduces to

$$(3.9) \quad \begin{aligned} \frac{R(x)}{n-1} &\geq -\frac{1+\epsilon}{c} \left[(n-1) + \frac{|\nabla f|(p)}{2(n-1)} + C_0 \right] - \frac{C}{c^2} \\ &\quad - \frac{\tilde{\varphi}'(\frac{r(x_0)}{c})}{c} \cdot \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s)) ds. \end{aligned}$$

Under the assumption of our theorem, i.e., (1.4), then by taking $c \rightarrow \infty$, since $-1 - \epsilon \leq \tilde{\varphi}' \leq 0$ and $c \leq r(x_0) < 2c$ and $\lambda \geq 0$, from (3.9) we conclude that

$$R(x) \geq 0$$

for all $x \in M$ in Case (ii).

On the other hand, recall that Case (i), and we have $R(x) \geq \lambda$ for all $x \in B_p(c)$. By taking $c \rightarrow \infty$, we have that

$$R(x) \geq \lambda$$

for all $x \in M$ in Case (i).

Therefore in any case, as long as $\lambda \geq 0$, we have $R(x) \geq 0$ for all $x \in M$. But at the beginning of Step 2, we assume $R(x) \leq 0$ for all $x \in M$. Hence $R(x) \equiv 0$ for all $x \in M$ in Step 2.

In summary, combining Step 1, we finish the proof of the nonexpanding case.

(2) Expanding gradient Yamabe soliton

The proof of this case ($\lambda < 0$) is similar to the arguments of the nonexpanding case. First, we consider **Case (i)** i.e., $d(x_0, p) < c$. In the same way, we still have $R(x) \geq \lambda$ for all $x \in B_p(c)$.

Then we consider **Case (ii)**, i.e., $d(x_0, p) \geq c$. At this time, (3.8) still holds. Since $x_0 \in B(p, 2c) - B(p, c)$, we have

$$(3.10) \quad 1 \leq \frac{r(x_0)}{c} < 2.$$

We also know that $-1 - \epsilon \leq \tilde{\varphi}' \leq 0$ and $\lambda < 0$. Combining this with (3.10) and using the fact: $\varphi \leq 1$, we have by (3.8)

$$\begin{aligned} \frac{R(x)}{n-1} \geq & -\frac{1+\epsilon}{c} \left[(n-1) + \frac{|\nabla f|(p)}{2(n-1)} - \frac{c\lambda}{(n-1)} + C_0 \right] + \frac{\lambda}{n-1} - \frac{C}{c^2} \\ & - \frac{\tilde{\varphi}'\left(\frac{r(x_0)}{c}\right)}{c} \cdot \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s))ds \end{aligned}$$

for all $x \in B_p(c)$. Noticing the assumption of our theorem (1.4), and taking first $c \rightarrow \infty$ ($c \leq r(x_0) < 2c$) and then $\epsilon \rightarrow 0$, we obtain

$$(3.11) \quad R(x) \geq 2\lambda$$

for all $x \in M$. Note that $R(x) \leq 0$ for all $x \in M$. Hence we conclude that

$$2\lambda \leq R(x) \leq 0$$

for all $x \in M$ in Step 2.

In fact the lower bound estimate (3.11) is not optimal. In the following, we want to sharp the estimate (3.11) for the expanding gradient Yamabe solitons ($\lambda < 0$). To achieve it, it needs constructing a suitable C^2 -smooth cut-off function on $[0, \infty)$. Here we mainly follow the argument of S.-J. Zhang [20]. We first construct a non-negative piecewise linear function $\varphi(s)$ such that

$$(3.12) \quad \varphi(s) = \begin{cases} 1 & \text{on } [0, 1), \\ \frac{1+b-s}{b} & \text{on } [1, b+1), \\ 0 & \text{on } [b+1, \infty), \end{cases}$$

where $b > 2$. It is easy to check that

$$\varphi'' - 2 \cdot \frac{(\varphi')^2}{\varphi} \geq -C_1$$

on $[0, \infty)$ for some positive absolute constant C_1 . Since $-C_1 < \varphi' \leq 0$ for all $x \in B_p(c)$, the inequality (3.8) becomes

$$\begin{aligned} (3.13) \quad R(x) \geq & \frac{-C_1}{c} \left[(n-1)^2 + \frac{|\nabla f|(p)}{2} + (n-1)C_0 + \frac{(n-1)C}{cC_1} \right] \\ & - (n-1) \frac{\tilde{\varphi}'\left(\frac{r(x_0)}{c}\right)}{c} \cdot \int_1^{r(x_0)} Rc(\gamma'(s), \gamma'(s))ds \\ & - \lambda \left(\frac{\varphi' r(x_0)}{2c} - \varphi(x_0) \right), \end{aligned}$$

where $x_0 \in B_p((b+1)c) - B_p(c)$. When we let $c \rightarrow \infty$ ($c \leq r(x_0) < (1+b)c$), combing the assumption of our theorem (1.4), the first and second terms of the right hand side of (3.13) tend to zero. So we only need to estimate the term

$$\varphi' \left(\frac{r(x_0)}{c} \right) \frac{r(x_0)}{2c} - \varphi \left(\frac{r(x_0)}{c} \right).$$

Since $x_0 \in B_p((b+1)c) - B_p(c)$, we have

$$1 \leq s_0 = \frac{r(x_0)}{c} \leq 1 + b$$

on $[1, b+1)$. Hence in fact we only need to estimate the function $h_\varphi(s)$ on $[1, b+1)$, where $h_\varphi(s)$ is defined by

$$h_\varphi(s) := \frac{1}{2}\varphi'(s)s - \varphi(s).$$

For the above linear cut-off function in (3.12), we see that

$$h_\varphi(s) = -\frac{2b+2-s}{2b}$$

for $s \in [1, b+1)$. Obviously,

$$h_\varphi(s) \geq -1 - 1/b$$

for $s \in [1, 2)$ and

$$h_\varphi(s) \geq -1$$

for $s \in [2, b+1)$. Furthermore, for any small positive number ϵ , we can also construct a C^2 cut-off function ϕ by smoothing the linear function φ such that

$$\phi(s) = \begin{cases} \varphi(s) & \text{on } [0, 1) \cup [2, b) \cup [b+1, \infty), \\ \phi(s) \text{ satisfies } -\frac{1+\epsilon}{b} \leq \phi'(s) < 0 & \text{on } [1, 2) \cup [b, b+1). \end{cases}$$

So when b is large and small positive number ϵ , we have

$$h_\phi(s) \geq -1 - \frac{1+\epsilon}{b}$$

for $s \in [1, b+1)$. Now we regard this function $\phi(s)$ as the desired cut-off function. Taking $c \rightarrow \infty$, $\epsilon \rightarrow 0$ and then $b \rightarrow \infty$, we get that

$$h_\phi(s) = \frac{1}{2}\phi'(s)s - \phi(s) \geq -1$$

for all $x \in M$. So, by (3.13) we obtain that $R(x) \geq \lambda$ for all $x \in M$. Hence $\lambda \leq R(x) \leq 0$ for all $x \in M$ in Step 2. At last, combining Step 1, this completes the proof of Theorem 1.2. \square

4. BOUNDS OF POTENTIAL FUNCTIONS

In this section, we will discuss the potential function f on a class of complete non-compact Yamabe solitons. We mainly complete the proof of Theorem 1.6.

Proof of Theorem 1.6. (1) Firstly we discuss the steady or shrinking Yamabe gradient solitons, namely, $\lambda \geq 0$. By Theorem 1.2, we have $R \geq 0$ and hence

$$(4.1) \quad \nabla_i \nabla_j f = (\lambda - R)g_{ij} \leq \lambda g_{ij}.$$

Given any point $x \in M$, let $\gamma : [0, d(x, p)] \rightarrow M$ be a unit speed shortest geodesic joining p to x . Consider the function $f(\gamma(s))$ and then we have for all $\bar{s} \in [0, r(x)]$ that the radial derivative of f is given by

$$(4.2) \quad \left. \frac{d}{ds} \right|_{s=\bar{s}} f(\gamma(s)) = \langle \nabla f, \gamma'(\bar{s}) \rangle = \int_0^{\bar{s}} (\nabla \nabla f)(\gamma'(s), \gamma'(s)) ds + \langle \nabla f, \gamma'(0) \rangle.$$

Using (4.1), we conclude that

$$\frac{d}{ds} \Big|_{s=\bar{s}} f(\gamma(s)) \leq \lambda \bar{s} + \langle \nabla f, \gamma'(0) \rangle.$$

Integrating this along the geodesic γ , we obtain by taking $\bar{s} = r(x)$

$$f(x) \leq \frac{\lambda}{2} \cdot r(x)^2 + C_1 \cdot r(x) + C_2$$

for some fixed constants C_1 and C_2 .

(2) Secondly, we discuss the expanding case, i.e., $\lambda < 0$. By Theorem 1.2, we know $R \geq \lambda$. Hence we get

$$\nabla_i \nabla_j f = c g_{ij}$$

for some smooth function $c := \lambda - R$, where $c \leq 0$. Similar to the computation of (4.2), we prove that

$$\frac{d}{ds} \Big|_{s=\bar{s}} f(\gamma(s)) \leq 0 + \langle \nabla f, \gamma'(0) \rangle.$$

Integrating this inequality along the geodesic γ implies that

$$f(x) \leq C_1 \cdot r(x) + C_2$$

for some fixed constants C_1 and C_2 . Hence we finish the proof of Theorem 1.6. \square

5. THE FINITE TOPOLOGICAL TYPE

In this section, we will give a complete proof of the topology result (Theorem 1.7) on complete gradient Yamabe solitons.

Proof of Theorem 1.7. To prove Theorem 1.7, we only need to show that the potential function f is proper and has no critical points outside of a compact set.

Now, let $p \in M$ be a fix point and let $\gamma : [0, s] \rightarrow M$ be a shorest geodesic by arc length, with respect to some fixed $g(t_0)$ (depend on the constant λ), jointing p to any point $x \in M$ (we only care about the point x which are far away from p). Note that $s := d_{g(t_0)}(p, x)$. Then we have

$$\begin{aligned} \langle \nabla f, \dot{\gamma} \rangle(x) &= \langle \nabla f, \dot{\gamma} \rangle(p) + \int_0^s \frac{d^2}{ds^2} f(\gamma(s)) ds \\ (5.1) \quad &\geq \langle \nabla f, \dot{\gamma} \rangle(p) + \int_0^s (\lambda - R) g(\dot{\gamma}, \dot{\gamma}) ds \\ &\geq \lambda s - |\nabla f|(p) - \int_0^s R g(\dot{\gamma}, \dot{\gamma}) ds. \end{aligned}$$

Since $R \leq \mu$ for some constant $\mu < \lambda$, we have

$$\int_0^s R g(\dot{\gamma}, \dot{\gamma}) ds \leq \mu s.$$

Plugging this into (5.1), we obtain

$$(5.2) \quad \langle \nabla f, \dot{\gamma} \rangle(x) \geq (\lambda - \mu)s - |\nabla f|(p).$$

Noticing that $\lambda - \mu > 0$, by (5.2), we get

$$\frac{d}{ds} f(\gamma(s)) = \langle \nabla f, \dot{\gamma} \rangle(x) \geq (\lambda - \mu)s - |\nabla f|(p)$$

i.e.,

$$f(\gamma(s)) \geq f(\gamma(0)) + \frac{\lambda - \mu}{2} s^2 - |\nabla f|(p) \cdot s.$$

Let $r(y) := d_{g(t_0)}(p, y)$, so that $s = r(x)$. We have that

$$f(x) \geq f(p) + \frac{\lambda - \mu}{2} r^2 - C_3 r$$

for any $x \in M$. Obviously, $f^{-1}((-\infty, \tilde{c}])$ is compact for any $\tilde{c} < \infty$. So f is proper. We also observe that function f has no critical points outside of a large compact domain (for example, we can choose a compact domain $\bar{B}\left(p, \frac{2|\nabla f|(p)}{\lambda - \mu}\right)$). \square

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